

JPI Urban Europe ERA-NET Cofund Smart Urban Futures (ENSUF) Full Proposal

Additional Information Form - Guidance for the UK Applicants

Interdisciplinary Statement (up to two sides of A4)

The UK funding agencies for this call are:

- Arts and Humanities Research Council (AHRC) <http://www.ahrc.ac.uk/>
- Engineering and Physical Sciences Research Council (EPSRC) <https://www.epsrc.ac.uk/>
- Economic and Social Research Council (ESRC) <http://www.esrc.ac.uk/>

These agencies are collaborating to support interdisciplinary and cross-cutting urban research projects. The UK component of a consortium will need to propose a genuine and substantial level of interdisciplinary activity from across at least two of these Research Councils' (AHRC, EPSRC & ESRC) remits as well as demonstrate understanding/awareness of the remaining Research Council's remit through specific networking activity within the project.

Therefore, UK applicants need to clearly articulate how the UK component of their project is interdisciplinary and is aligned with the remits of at least two of the three UK funding agencies.

Ethical Information (up to one sides of A4)

All proposals with UK based Research Organisations requesting funding from the Research Councils are expected to give full consideration to any ethical matters in the research/project. Applicants must ensure the proposed project will be carried out to a high ethical standard and must clearly state how any potential ethical and health and safety issues have been considered and will be addressed, ensuring that all necessary ethical approval is in place before the project commences and all risks are minimised.

The ESRCs Framework for Research Ethics (www.esrc.ac.uk/researchethics) contains a full explanation of our approach, with guidance for applicants. Applicants must explain what, if any, ethical issues are relevant to the proposed research project, and which ethical approvals have been obtained, or will be sought if the project is funded. If applicants believe that an ethics review is not necessary they are required to explain why.

Data Management Plan (up to two sides of A4)

It is a requirement that all UK based applicants planning to generate data as part of their grant must include a Data Management Plan (www.esrc.ac.uk/datapolicy). The Data Management Plan should be used as an opportunity to describe how the data, i.e. primary input into research and first-order results of that research, are going to be managed - starting from planning for research and through the life-cycle of the grant until data is accepted for archiving by the UK Data Service.

The Research Councils recognise the importance of research data quality and provenance. Research data generated by ESRC-funded research must be well-managed by the grant holder during the grant period to enable its potential for use in further research.

It is expected that the Data Management Plan will include a consideration of the following: description of new data which will be created; assessment and utilisation of existing data sources; quality assurance and control of data; back-up and security of data; data sharing and archiving; anticipated difficulties in data sharing; copyright/Intellectual Property Rights; and responsibilities and data management.

Justifications of Resources (JoR) (up to two sides of A4)

This mandatory statement should be used to justify the resources requested from the UK Funding Agencies required to undertake the research project. The JoR should:

- Explain why the indicated resources are needed, taking account of the nature and complexity of the research proposed. Please note that it is not sufficient merely to list what is required;
- Have regard for the breakdown of resources into the summary fund headings Directly Incurred, Directly Allocated and (where appropriate) Exceptions. Please note that any exceptions (international co-investigator and non-academic co-investigator costs must not exceed 30 per cent of the total UK costs).
- In some cases, such as with investigator time, use of internal facilities and shared staff costs (all likely to be Directly Allocated costs), the basis of the costing need not be justified, but the need for the resources does need justification.
- Be explicit about the need for the level of investigator time sought, bearing in mind the complexity of the research, the need to manage the project and supervise staff and any wider considerations such as collaboration or facilities usage.

Applicants should also note that:

- Estates and indirect costs do not need to be justified.
- The document should be attached as type Justification of Resources.
- UK requested costs should be included in EUR and Pound Sterling.

Pathways to Impact (up to two sides of A4)

The information in the Pathways to Impact is primarily for detailing the activities (in the UK and elsewhere) that will increase the likelihood of economic and societal impact. The Pathways to Impact statement should be project-specific and not generalised; be flexible and focus on potential outcomes; and outline the beneficiaries and users of the research/project, for example, key stakeholders from the public sector, commercial private sector, the third sector or the wider public. Plans for engaging academic audiences may be included, but only where these form part of the critical pathway towards economic and societal impact.

The Pathways to Impact should explicitly address: plans to identify and actively engage relevant users of research and stakeholders at appropriate stages; articulate a clear understanding of associated activities including timing, personnel, skills, budget, deliverables and feasibility; and include evidence of any existing engagement and relevant users.